

**UTILITY** 

PATENT APPLICATION

Attorney Docket No.

D/A3285-(1508/3900) Total Pages: 3

First Named Inventor or Application Identifier
W. Keith Edwards

1354 U.S. PTO 10/623294

TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.

EV138586999US

APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents.						AD	Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231			cation
1.	1. E Fee Transmittal Form (Submit an original, and a duplicate for fee processing)					6.  Microfiche Computer Program (Appendix)				
2.	×	Speci	ficatio	n (incl. claims) (Tota	l Pages: 28)	7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)				
3.	×	Draw	ing(s)	(35 USC 113) (Total	Sheets: 12)		a.	☐ Compt	iter Readable Copy	e <sup>st</sup>
		☐ Inf	formal	Forma	1		b.	☐ Paper (	Copy (Identical to cor	mputer copy)
		Use F	igure	for front	page of Publication.		c.	☐ Statem	ent verifying identity	of above copies
4.	×	Oath or Declaration (Total Pages: 2)  ACCOMPANYING APPLICATION PARTS						TION PARTS		
	a.			executed al or copy)	•	8.	×	Assignme	ent Papers (cover shee	et & document(s))
	b.		Copy fi	rom a prior application inuation/divisional with Bo [Note Box 5 below]		9.			.73(b) Statement $\square$ ere is an assignee)	Power of Attorney
			☐ i. DELETION OF INVENTOR(S)			10.	0. ☐ English Translation Document (if applicable)			
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						11.	11. ☐ Information Disclosure ☑ Copies of IDS Statement (IDS)/PTO-1449 Citations			
5		Incom	norati	on By Reference		12.	12.   Preliminary Amendment			
	-0	(usable if Box 4b is checked) The entire disclosure of the prior application, from					13. Example Receipt Postcard (MPEP 503) (Should be specifically itemized)			
	which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.				14.		Small Ent	t(s) application	t filed in prior on, status still id desired	
	necesy meerporated by reference dierein.					15.		Certified Copy of Priority Document(s) (If foreign priority is claimed)		
						16.	16. □ Other:			•
17	. 🗆	Ifac	CONTI	NUING APPLICATION	, check appropriate b	ox an	d suj	oply the red	quisite information:	
	☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /									
	18. CORRESPONDENCE ADDRESS									
☐ Same as prior application ☑ Correspondence address below										
NAME			G	Gunnar G. Leinberg, Esq.						
ADDRESS Nixon Peabody LLP, Clinton Square, P.O.						). Box	x 31	051	· · · · · · · · · · · · · · · · · · ·	
CITY			Rochester	STATE	NY			ZIP CODE	14603-1051	
COUNTRY			U.S.A.	TELEPHONE	585-263-1014		-1014	FAX	585-263-1600	

(Executed Attachment to Page 1)

Attorney Docket No. D/A3285 (1508/3900)

19.	□	Cancel in this application of (At least one original indep		the prior application l	pefore calculating	g the filing fee.	
20.	×	The filing fee is calculated		u for this ming.)			
		CLAIMS AS FILED, LESS A	NY CLAIMS CANCELED	RV AROVE-INDICATE	D AMENDMENT(S	2)	
		(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
		TOTAL CLAIMS	33 - 20 =	13	x \$ 18	= \$234.0	
		(37 CFR 1.16(c))	33 5 20 -		X 9 10	- \$254.0	
		INDEPENDENT CLAIMS	3 - 3=	0	x \$ 84	= \$0.00	
		(37 CFR 1.16(b))	3 - 3-	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	X \$ 64	- \$0.00	
	•	MULTIPLE DEPENDENT		ANY 0	\$280	= \$0.00	
				ANYU	\$200	- \$0.00	
		CLAIMS (IF APPLICABLE)					
		(37 CFR 1.16(d))	<u> </u>	BASIC FEE (37 CFR.)	16(4))	\$750.00	
			<del></del>				
				TOTAL		\$984.00	
22.	An	additional copy of this form	nder 37 CFR 1.136(a)(				
		petition for extension of tir	ne, as incorporating a p	petition for the appropr	iate extension of	f time.	
23.	23. ☐ Amend the specification by inserting before the first line the sentence: This application is a ☐ continuation ☐ continuation-in-part ☐ divisional						
		of Application(s) No(s).	, filed	· <del></del>			
24.		A CIP declaration is enclose	sed.				
25.	×	Power of Attorney		- Will			
		<ul><li>a.</li></ul>	of the declaration and	l power of attorney fro			
		c. A new declaration	with power of attorne	y is enclosed.			
26.	<u> </u>	The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33(b):					
27.		This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.					
28		This application claims the	priority benefit of one	or more Provisional A	Application No(s)	). and	

the first sentence of this application has been or will be amended to so indicate.

# UTILITY PATENT APPLICATION TRANSMITTAL – 37 CFR 1.53(b) (Executed Attachment to Page 1)

Page 3

Attorney Docket No. D/A3285 (1508/3900)

29.	Priority is claimed from (reinsert all previous priority claims for the entire chain of any prior applications).
30.	This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
31. 🗵	The assignee of this application to be indicated on its publication is Xerox Corporation.
32. 🗖	Other paper(s) enclosed:

Respectfully submitted,

John Campa

Signature per 37 CFR 1.33 & 34

Date: July 18, 2003 Registration No. 49,014 Telephone No. 585-263-1519

DOCKET NO.

D/A3285 (1508/3900)

APPLICANT(S)

W. Keith Edwards, Mark W. Newman, Jana Z. Sedivy,

Trevor F. Smith, Diana K. Smetters, Dirk Balfanz,

and Paul Stewart

TITLE

SYSTEM AND METHOD FOR SECURELY CONTROLLING COMMUNICATIONS

Certificate is attached to the Assignment Transmittal Letter (2 pages) and Assignment Form (1 page) of the above-named application.

"EXPRESS MAIL" NUMBER:

EV138586999US

DATE OF DEPOSIT:

July 18, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application; Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or (fee)

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Certificate is attached to the Formal Drawings (12 pages) of the above-named application.

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and Paul Stewart

TITLE

SYSTEM AND METHOD FOR SECURELY

**CONTROLLING COMMUNICATIONS** 

Certificate is attached to the Utility Utility Patent Application which includes the Specification, Claims, and Abstract (28 pages) and signed Combined Declaration and Power of Attorney (2 pages) of the above-named application.

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TITLE

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Certificate is attached to the **Utility Patent Application Transmittal Letter** (3 pages) in duplicate of the above-named application.

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DATE OF DEPOSIT:

**July 18, 2003** 

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